

AS “SEB banka” is a member and participant in NASDAQ Riga, NASDAQ Tallinn and NASDAQ Vilnius stock exchanges. It has direct access to these trading venues.

AS “SEB banka” can also execute client’s order with a transaction on AS “SEB banka” own account. This normally will be used for financial instrument as follows:

- Bonds traded outside regulated markets,
- Equities traded outside regulated markets,
- Foreign currency exchange derivatives (forwards, swaps, options),
- Interest rate derivatives (swaps, options),
- Structured products (equities, bonds, foreign exchange, commodities).

The following table presents the trading venues of securities where SEB Group is either a member or a participant. The member or participant does not need to be specifically AS “SEB banka”, but it may also be another person belonging to the SEB Group. Therefore transaction orders of clients may be forwarded for execution to a person belonging to the SEB Group, who is a member or a participant on the respective market. According to the Order Execution Policy AS “SEB banka” may also use, if necessary, other trading venues for the execution of transaction orders.

Execution venue	Financial instrument	Execution venue	Financial instrument
Aktietorget	equities	NYSE Euronext Brussels	equities, derivatives
American Stock Exchange (AMEX)	equities	NYSE Euronext Paris	equities, derivatives
BATS Trading	equities	NYSE Euronext LIFFE, London	derivatives
Borsa Italiana	equities, derivatives	NYSE Euronext Lisbon	equities
Burgundy	equities	Nasdaq Copenhagen	equities, fixed income securities, structured products
Chi-X, Europe	equities	Nasdaq Helsinki	equities, derivatives, fixed income securities
Chicago Board of Trade (CBOT)	derivatives	Nasdaq Iceland	equities
Chicago Mercantile Exchange (CME)	derivatives	Nasdaq Riga	equities, fixed income securities
Dansk AMP	equities	Nasdaq Stockholm	equities, derivatives, fixed income securities, structured products
Dansk OTC	equities	Nasdaq Tallinn	equities, fixed income securities
Deutsche Börse – Eurex	equities	Nasdaq Vilnius	equities, fixed income securities
Deutsche Börse – Xetra (including FWB) and other German regional exchanges	equities, fixed income securities, structured products	Nasdaq First North (all markets)	equities
European Energy Exchange (EEX)	emission rights	Oslo Axess	equities
Intercontinental Exchange (ICE)	derivatives	Oslo Stock Exchange	equities, derivatives, fixed income securities
Irish Stock Exchange	equities	Reuters Transactions Services Limited (RTSL)	foreign exchange
London Stock Exchange	equities (SETS un IOB)	Singapore International Monetary Exchange (SIMEX)	derivatives
Madrid Stock Exchange	equities	Spanish Official Exchange (MEFF)	derivatives
Montréal Exchange (MX)	derivatives	SIX Swiss Exchange	equities
Nasdaq	equities	Sydney Futures Exchange (SFE)	derivatives
New York Mercantile Exchange (NYMEX)	derivatives	Toronto Stock Exchange	equities
New York Stock Exchange (NYSE)	equities	Turquoise	equities
NDX	derivatives	Vienna Stock Exchange	equities
NGM Equity	equities	Warsaw Stock Exchange	equities
Nordic MTF	equities		
Norwegian over the counter market	equities		
NYSE Euronext Amsterdam	equities, derivatives		

Third Party Brokers used by AS “SEB banka”

The below table specifies the main brokers, to whom AS “SEB banka” forwards the client orders if the respective security is not traded on the NASDAQ Riga, NASDAQ Tallinn or NASDAQ Vilnius, or AS “SEB banka” cannot execute client’s order with a transaction on AS “SEB banka” own account. The list is not inclusive and other brokers may be used.

Broker	Home page
Skandinaviska Enskilda Banken AB (publ)	www.seb.se
AB “SEB bankas”	www.seb.lt
Citigroup Global Markets Limited	www.citigroup.com
Citigroup Global Markets Inc.	www.citigroup.com